Birth Defects
Surveillance
Programs and
Referrals to
Services

VIRTUAL ROUNDTABLE

AMCHP EPIDEMIOLOGY, EVALUATION & METRICS



#### FIRST...some affirmations Birth Defects Surveillance Programs Are Important

#### Data

- Data Collection/Surveillance
- Birth Defects Research & Analysis
- Data Quality Improvement
- Data Product Production/Dissemination

#### Information

- Communications/Information Sharing
- Reporting/Relaying Information to Title V Programs

#### **Education/Advocacy**

- Birth Defects Intervention/Prevention Education & Promotion
- Advocacy/Assistance to Families

Referrals to Prevention/Intervention Services



## Excerpts from State Cooperative Agreement Evaluation Expectations & Success Indicators

#### **Expected evaluation for 2 of 3 categories**

- Surveillance
- 2. Improve Data Quality
  - Improve Primary and Secondary Prevention
    - Effectiveness of referral processes
    - Methods to improve prospective tracking of children with birth defects

#### **Success Indicators of Improve Primary and Secondary Prevention**

- ■Data used to identify high risk populations and prevention strategies are implemented.
- Plan to improve referral to health care and social services for individual with birth defects is documented and shared with CDC
- Facilitators and barriers to tracking children prospectively

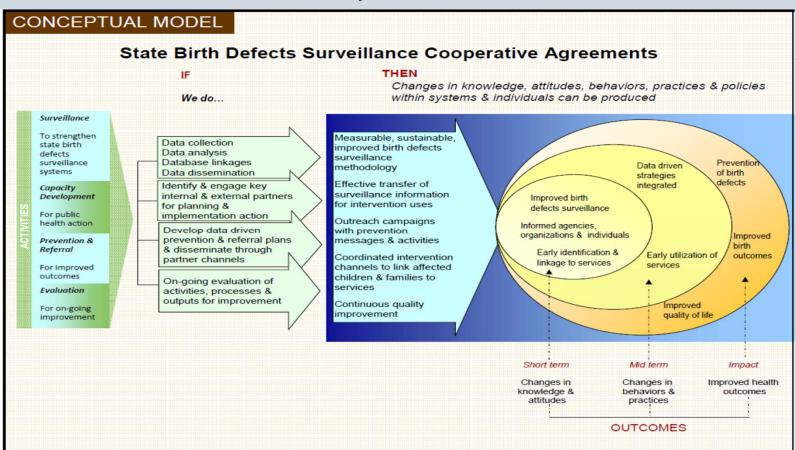


#### Birth Defects Surveillance Programs: Primary Activities & Functions

Functions and Activities	Yes	No
Advisory Committee Administration and/or Participation (n=29)	41%	59%
Advocacy/Assistance to Families (n=30)	43%	57%
Birth Defects Research & Analysis (n=30)	73%	23%
Birth Defects Intervention/Prevention Education & Promotion (n=30)	63%	37%
Communications/Information Sharing (n=29)	83%	17%
Data Collection/Surveillance (n=30)	97%	3%
Data Product Production/Dissemination (n=30)	73%	23%
Data Quality Improvement (n=30)	87%	13%
Referrals to Prevention/Intervention Services (n=30)	57%	43%
Reporting/Relaying Information to a Title V MCH Program for Block Grant Planning/Reporting (n=30)	67%	33%

(AMCHP, 2022)

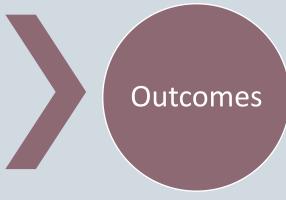
# State BD Surveillance Cooperative Agreements Conceptual Model



## State BD Surveillance Cooperative Agreements Conceptual Model



#### Results



- Surveillance
- Capacity Development
- Prevention & Referral
  - Develop data-driven prevention & referral plans & disseminate through partner channels
- Evaluation

- Measurable & Sustained Birth Defect Methodology
- Effective Transfer of Information for Intervention
- Outreach Campaigns w/ Prevention Messages & Activities
- Coordinated Intervention Channels to Link Children/Families to Services

- Short Term: Changes in Knowledge & Attitudes
- Mid Term: Changes in Behavior & Practices
- Impact: Improved Health Outcomes

(Graphic based on Mai, Silverman, Boulet, & Leary, 2009)

#### State BD Surveillance Cooperative Agreements Conceptual Model - Prevention & Referral Focus



#### Results

# Outcomes

#### **Prevention & Referral**

 Develop data-driven prevention & referral plans & disseminate through partner channels

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# THE OESSENTIAL PUBLIC HEALTH SERVICES



Revised 10 Essential Public Health Services

#### Revised 10 Essential Public Health Services

**Assess and Monitor** 

Investigate & Diagnose

Inform & Educate

Support & mobilize communities & partnerships

**Implement Policies and Plans** 

**Legal & Regulatory Actions** 

**Ensure Access to Services** 

Skilled Public Health Workforce

Evaluation, Research, and Quality Improvement

Research for New Insights and Innovative Infrastructure for Public Health

- Assess and monitor population health status, factors that influence health, and community needs and assets
- Investigate, diagnose, and <u>address</u> health problems and hazards affecting the population.
- <u>Communicate effectively</u> to inform and educate people about health, factors that influence it, and how to improve it.
- <u>Strengthen, support, and mobilize communities and partnerships to improve health.</u>
- Create, champion, and implement policies, plans, and laws that impact health.
- Utilize legal and regulatory actions designed <u>to improve</u> and protect the public's health.
- Assure an effective system that <u>enables equitable access</u> to the individual services and care needed to be healthy.
- Build and support a <u>diverse</u> and skilled public health workforce.
- Improve and innovate public health functions through ongoing evaluation, research, and continuous quality improvement.
- Build and maintain a strong organizational infrastructure for public health.

#### **CDC Evaluation Framework & Standards**



# Framework for Assessing Outcomes from Newborn Screening

Measure Concepts

- Screening: Process & Screening Outcomes
- Care Consistency w/ Guidelines
- Patient/Client Care/Services: Medical Home, Processes, Transition
- Surveillance: Surveillance Systems, Registries, Clinical Studies/Trials

Primary Drivers

- Rapid/Reliable Detection & Diagnosis
- Provision of Evidence-based Therapeutic & Habilitative Care
- Coordination/Integration of Holistic Spectrum of Services
- CQI Mechanisms of Care, Discovery & Innovation

Outcomes: Improved Survival & Well-Being

- Mortality
- Major Complications
- Function
- Growth & Development
- Patient/Family Experience
- Disparities

Graphic based on Hinton et al., 2016

#### Framework for Assessing Newborn Screening - Sickle Cell Disease Aim – Rapid/Reliable Detection & Diagnosis Example 1

#### Measure **Primary** Key Attribute Data Sources Concepts: Measures Drivers Screening • Rapid & Reliable • Universal SCD detected by State NBS • #/of HbSS, HbSC, Detection & **NBS** HbS-beta Screening **Programs** Diagnosis Performed thalassemia detected at birth • SCD confirmed & • CDC's Sickle Cell diagnosed Data Collection Diagnosis via Universal Program (SCDC) • % infants with confirmed Screening diagnoses before 2 months of age-Condition sub-type confirmed Graphic based on Hinton et al., 2016

#### Framework for Assessing Newborn Screening Aim - Provision of Evidence-based Care for SCD Example 2

Measure

Concepts:

Services -Prevention

**Primary** Drivers

Care

 Provision of Evidence-based Evidence-based Treatment

Key Attribute

• Prevention of disease-related mortality

- Early initiation of PCN prophylaxis
- Continuous prescription of PCN prophylaxis

Data Sources

- State mortality data
- CDC's Sickle Cell Data Collection Program (SCDC)

• Number and age of childhood deaths

Measures

- State NBS **Programs**
- CDC's Sickle Cell Data Collection Program (SCDC)
- HRSA-Supported Surveys
- #//% infants prescribed PCN by 3 months of age
- #/% children younger than 5 continuously prescribed PCN

Graphic based on Hinton et al., 2016

# Framework for Assessing Newborn Screening Aim – Coordination & Integration of SCD Services/Interventions *Example 3*

Primary Drivers

Key Attribute

Measure Concepts: Services -Access

Data Sources

Measures

• Coordination & Integration of Services

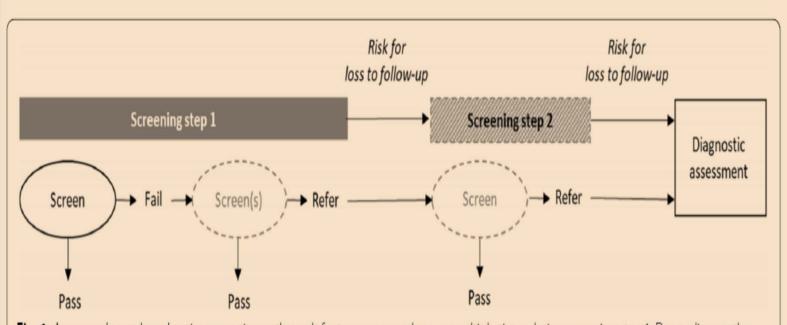
• Referral to SCD Services

• Patient-centered engagement & satisfaction

• National Children's Survey

• Sickle Cell Data Collection Program (SCDC) • % w/ difficulty in receiving referrals among SCD children who needed them

Example: Protocol and Program Factors Associated with Referral and Loss to follow-up from Newborn Hearing Screening: A Systematic Review



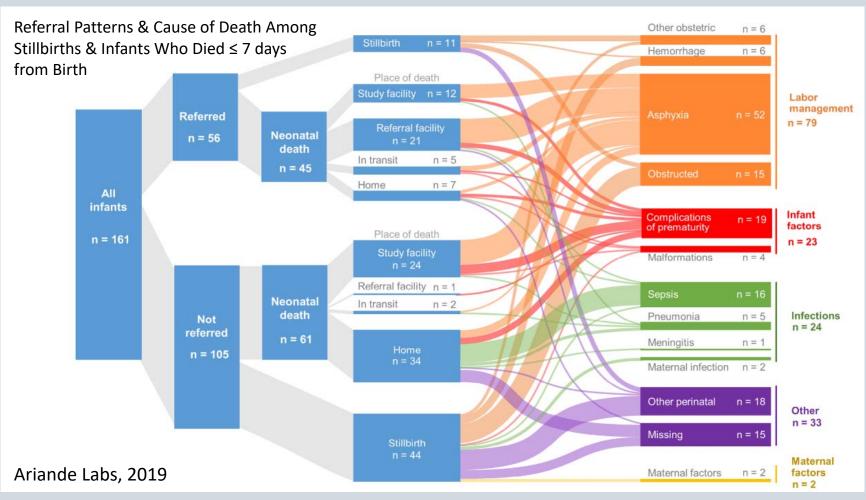
**Fig. 1** An example newborn hearing screening pathway. Infants are screened one or multiple times during screening step 1. Depending on the protocol, infants who are referred from screening step 1 may undergo screening step 2 or be directly referred to a diagnostic assessment

Mackey, Busse, Del Vechio, Torkko & Uhlén, 2021

### Example: Protocol and program factors associated with referral and loss to follow-up from newborn hearing screening: a systematic review

Assessment Factor	Loss to Follow-Up (high/low)	Referral Rates (lower/higher)
Screening Method		aABR, TEOAE
Program Factors		Hospital Size Program Organization
Screener-Level Factors Professional & Experience	Lower LTFU* for techs vs. nurses & volunteers (*moderated by audiologist presence)	
Level of NHS organization	Lower LTFU for local NHS embedded within larger NHS	
Hospital Size	Lower LTFU for larger hospitals	
Infant Factors		Sleeping/quiet – lower Infant Age since birth (increase age -> lower) NICU Status Inpatient v. Outpatient

#### Mapping Outcomes by Referral Status



#### **Process Evaluation**

#### Communication Intervention of Positive Newborn Bloodspot Screening Results (Chudleigh et al., 2021)

**Objective:** implement and evaluate interventions to improve communication of positive newborn bloodspot screening results

Process Evaluation of Interventions: Standardized lab forms, communication checklists, and an email/letter template

Outcome Measures: Acceptability and feasibility of the communication interventions

#### Methods

- Audit of completion of interventions: staff asked to send completed forms & communication checklists that were checked for completeness & accuracy
- Semi-structured interviews:
  - Health providers views on acceptability, feasibility and usability
  - Parents who rec'd positive NBS screening with and without communication interventions: views on experiences and perceptions of communication interventions

#### Results

- Wide variation in communication forms and checklists completion attributed to organization and contextual barriers
- Health providers had positive feedback in relation to the purpose of the interventions and the ease of completion
- Parents reported consistency, pacing and tailoring of information as well as providing reliable information

#### Case for Evaluating Collaborations/Partnerships

"Authentically Connected" - An integrated network in which agencies function as equal players with each other and with the client to identify and address the complex interplay of needs\*

- The biggest benefit of collaboration among health agencies is the improved health of clients and therefore of the community.
- Leadership and vision among collaborative agencies can make a difference in the quality of a community health care system and in the cost-effectiveness of the care provided.

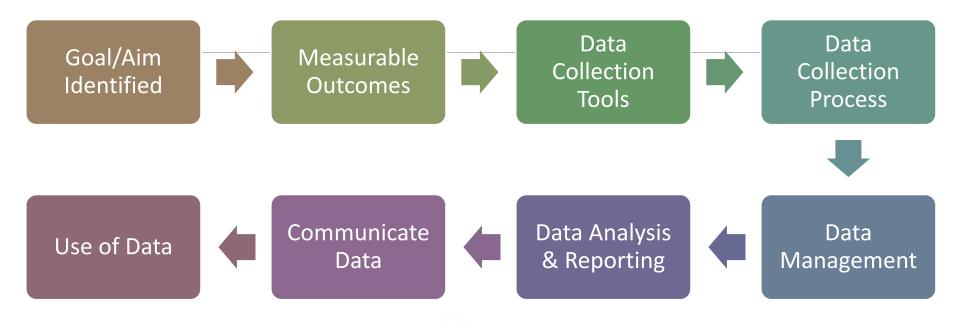
#### Collaboration Evaluations Tools (Free!)

- Wilder Collaboration Factors Inventory (Wilder Foundation)
- Collaboration Assessment Tool (Prevention Institute)
- Power Awareness Tool (Partos/The Spindle)

#### V-RTable Talk



#### Where Is Your Program?



- Thinking/Contemplating No Action
- Planning/Preparation
- Initiation/Implementing
- Implemented/Maintenance



- No Action, need help
- Action, but need help
- Doing ok, need a little help/reassurance
- Doing great, no help needed

#### For where you are...



What are barriers for progressing?



What are lessons learned on the journey so far?



What are means to meeting and managing expectations?



What defines success for your program?

#### Thank You!

VIRTUAL ROUNDTABLE

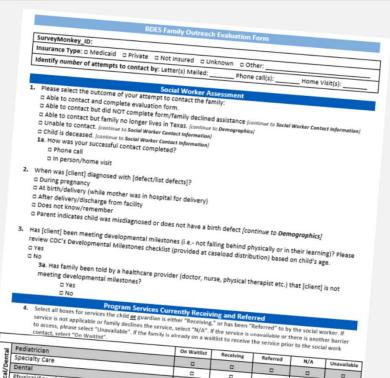
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#### Texas BDR Family Outreach Referrals (1)

#### **Social Work Data Collection Form**

- Social workers complete a data collection form for each child referred
- The information on the form submitted to BDES.
- Some of the information collected on the form includes:
  - Outcome of attempt to contact
  - Health insurance
  - · Referrals made
  - CDC milestones and more



#### Texas BDR Family Outreach Referrals (2)

#### **Referrals to Programs**

Referral Category	Programs in Referral Category		% of Referrals Made (n=3,097)
Family Support	<ul> <li>Counseling services</li> <li>Immigration/Legal Assistance</li> <li>Parent training and information centers (PTI)</li> </ul>	<ul> <li>TX Parent to Parent</li> <li>English as a Second Language (ESL)</li> <li>Parenting classes</li> <li>Share Program</li> </ul>	29%
Developmental	<ul> <li>Community First Choice (CFC)</li> <li>Early Childhood Intervention (ECI)</li> <li>Personal Care Service</li> <li>Skilled Nursing Care</li> <li>Head Start</li> </ul>	<ul> <li>Children with Special Healthcare Needs (CSHCN)</li> <li>Driscoll high-risk clinic</li> <li>School programs</li> </ul>	29%
Financial Assistance	<ul> <li>Medicaid Waiver Program</li> <li>Health Insurance Premium Payment (HIPP) Program</li> <li>Supplemental Security Income (SSI)</li> <li>Supplemental Nutrition Assistance Program (SNAP)</li> </ul>	<ul> <li>Temporary Assistance for Needy Families (TANF)</li> <li>Women Infants and Children (WIC)</li> <li>Child Support</li> </ul>	28%
Medical/Dental	<ul><li>Pediatrician</li><li>Specialty Care</li><li>Dental</li></ul>	<ul> <li>Physical/Speech/Occupational therapy</li> <li>Audiologists</li> <li>Medical Transportation</li> </ul>	14%

Betancourt, 2023